- **8.** Write short notes on "any **3**" of the following: (3*5=15)
 - 1, Doctrine of Indoor Management
 - 2. Independent Director
 - 3. Anti-Competitive Agreements
 - 4. Ordinary and Special Resolution





29/5/25 (EVP)

[This question paper contains 4 printed pages]

Your Roll No.

Sl. No. of Q. Paper

: 3525

I

Unique Paper Code

: 6202462403

Name of the Paper

: Corporate & Securities

Law

Name of the Course

: Banking, Financial

Servives and

Insurance

Semester

: IV

Time: 3 Hours

Maximum Marks: 90

Read the guidelines before your start:

- (a) Write your Roll No. on the top immediately on receipt of this question paper.
- (b) The paper has total 8 questions. Attempt any six questions.
- (c) Each question is of 15 marks.
- 1. (a) What are the various types of companies with respect to Liability assumed by its shareholders?

- (b) The definition of a company in law carries several distinct characteristics that differentiate it from other business forms like sole proprietorships or partnerships. Explain these characteristics with reference to companies Act 2013.
- 2. a) What do you mean by the term "depository" and "depository participant"? Explain the various types of services provided by them.

(b) Discuss the various powers and functions of National Company Law Tribunal. 8

- 3. (a) Explain the provisions of "First" and "Subsequent" Board of Directors meeting as per Companies Act. 2013.
 - (b) Who can be a director? Explain the provisions of Companies Act. relating to various ways for appointment of directors.
- 4. (a) What are the situations/cases in which a complaint can be lodged by the consumer to claim compensation under consumer protection act?
 - (b) What are the rights of a consumer as per Consumer Protection Act 2019?

- 5. (a) What is lifting of corporate veil? Explain the statutory provisions under which the corporate veil of the company may be lifted?
 - (b) Explain the difference between Articles of Association and Memorandum of Association of a company.
- 6. (a) Explain the various provision related to "Name Clause" in memorandum of Association.
 - (b) What are the rules to be followed for conducting an "Annual General Meeting" in a company?
 8
- 7. (a) Explain the "three-tier" complaint redressal mechanism of Consumer Protection Act. 2019.
 - (b) What do you mean by "Prospectus"?Explain Red Herring and Shelf Prospectus in brief.